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PTO/SB/05 (03-01) Please type a plus sign (+) inside this box \_\_\_\_ Approved for use through 10/31/2002. OMB 0651-0032 U.S Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attornev Docket No. A8319.0015/P015 UTILITY PATENT APPLICATION First Inventor Hideki Miyazaki BATTERY APPARATUS FOR, etc. TRANSMITTAL Title Express Mail Label No. (Only for new nonprovisional applications under 37 CFR 1.53(b)) Box Patent Application **APPLICATION ELEMENTS** ADDRESS TO: Commissioner for Patents See MPEP chapter 600 concerning utility patent application contents Washington, DC 20231 Fee Transmittal Form (e g , PTO/SB/17) CD-ROM or CD-R in duplicate, large table or (Submit an original, and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status Nucleotide and/or Amino Acid Sequence Submission 8 See 37 CFR 1 27 (if applicable, all necessary) Х Specification [Total Pages 61 Computer Readable Form (CRF) (preferred arrangement set forth below) b Specification Sequence Listing on. Descriptive title of the invention Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or paper - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, Statements verifying identity of above copies С or a computer program listing appendix - Background of the Invention **ACCOMPANYING APPLICATIONS PARTS** - Brief Summary of the Invention - Brief Description of the Drawings (if filed) Assignment Papers (cover sheet & document(s)) - Detailed Description 37 CFR 3.73(b) Statement Power of 10 - Abstract of the Disclosure (when there is an assignee) Attorney X Drawing(s) (35 U.S.C. 113) 9 English Translation Document (if applicable) [Total Sheets 11. Information Disclosure Copies of IDS [Total Pages 5. Oath or Declaration 12 Statement (IDS)/PTO-1449 Citations Preliminary Amendment X Newly executed (onginal or copy) 13 Copy from a prior application (37 CFR 1 63(d)) Return Receipt Postcard (MPEP 503) 14 (for continuation/divisional with Box 18 completed) (Should be specifically itemized) Certified Copy of Priority Document(s) 15 Х **DELETION OF INVENTOR(S)** (If foreign priority is claimed) Signed statement attached deleting Nonpublication Request under 35 U S.C 122 (b)(2)(B)(i). inventor(s) named in the prior application, 16 Applicant must attach form PTO/SB/35 or its equivalent. see 37 CFR 1 63(d)(2) and 1 33(b). Claim for Priority and Submission of Other Х 17 **Documents** Application Data Sheet See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1 76 Continuation-in-part (CIP) of prior application No. Continuation Divisional Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS

DC Zip Code 20037-1526 Washington State City (202) 785-9700 (202) 887-0689 Fax Country US Telephone Mark J. Thronson 33,082 Name (Print/Type) Registration No (Attorney/Agent) February 22, 2002 Date Signature

DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP

Correspondence address below

Χ

Name

Address

Customer Number or Bar Code Label

Mark J. Thronson

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**FEE TRANSMITTAL** for FY 2002

Complete if Known Not Yet Assigned Application Number Herewith Filing Date First Named Inventor Hideki Miyazaki

Patent fees are subject to annual revision.			First Named Inventor		itor   Hideki Miyazaki	
r atom rees are subject to annual revision.			Examiner Name		Not Yet Assigned	
Applicant claims small entity status See 37 CFR 1 27		Group Art Unit		Init	N/A	
TOTAL AMOUNT OF PAYMENT (\$) 780.00		Attorney Docket No. A8319.0015/P015				
METHOD OF PAYMENT (check all that apply)				FEE	CALCULATION (continued)	
Credit Money	2 /	nniti	TAIAI	. FEES		
X Check Card Order Other None	3. 7	יוווטטא	DIVAL	_ FEES		
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Account 04-1073 Number	Code		Code	(\$)	Fee Description Fee Paid	
Deposit Dickstein Shapiro Morin &	105	130	205	65	Surcharge – late filing fee or oath	
Account Name Oshinsky LLP		50	227	25	Surcharge – late provisional filing fee or cover	
The Commissioner is hereby authorized to: (check all that apply)	127	50	221	25	sheet.	
X Charge fee(s) indicated below X Credit any overpayments	139	130	139	130	Non-English specification	
Charge any additional fee(s) during the pendency of this	147	2,520	147	2,520	For filing a request for ex parte reexamination	
application  Charge fee(s) indicated below, except for the filing fee	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
to the above-identified deposit account.	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	115	110	215	55	Extension for reply within first month	
1. BASIC FILING FEE	116	400	216	200	Extension for reply within second month	
Large Entity Small Entity	117	920	217	460	Extension for reply within third month	
Fee Fee Fee Fee <u>Fee Description</u> Fee Paid	118	1,440	218	720	Extension for reply within fourth month	
101 740 201 370 Utility filing fee 740.00	128	1,960	228	980	Extension for reply within fifth month	
106 330 206 165 Design filing fee	119	320	219	160	Notice of Appeal	
107 510 207 255 Plant filing fee	120	320	220	160	Filing a brief in support of an appeal	
108 740 208 370 Reissue filing fee	121	280	221	140	Request for oral hearing	
114 160 214 80 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 740.00		110	240	55	Petition to revive – unavoidable	
		1,280	241	640	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	142	1,280	242	640	Utility issue fee (or reissue)	
Extra Fee from Fee Paid Claims below Fee Paid	143	460	243	230	Design issue fee	
Total Claims 10 -20** = x = 0 00	144	620	244	310	Plant issue fee	
Independent 3 -3** = x = 0 00	122	130	122	130	Petitions to the Commissioner	
Multiple Dependent	123	50	123	50	Processing fee under 37 CFR 1 17(q)	
Large Entity Small Entity	126	180	126	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Fee Fee Fee Description	581	40	581	40	Recording each patent assignment per 40 00	
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	{				property (times number of properties) Filing a submission after final rejection	
102 84 202 42 Independent claims in excess of 3	146	740	246	3/0	(37 CFR 1.129(a))	
104 280 204 140 Multiple dependent claim, if not paid	149	740	249	370	For each additional invention to be examined (37CFR 1 129(b))	
109 84 209 42 ** Reissue independent claims	179	740	279	370	Request for Continued Examination (RCE)	
over original patent  110 18 210 9 ** Reissue claims in excess of 20	169	900	169	900	Request for expedited examination of a design application	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		Other fee (specify)				
SUBTOTAL (2) (\$) 0.00	*Reduced by Basic Filing Fee Paid SUBTOTAL (3 (\$) 40.00					
** or number previously paid, if greater, For Reissues, see above	1				10.00	

SUBMITTED BY					Complete (if applicable)		
Name (Print/Type)	Mark J. Thronson		Registration No (Attorney/Agent)	33,082	Telephone	(202) 775-4742	
Signature	my	7	Ci		Date	February 22, 2002	

\*\*or number previously paid, if greater, For Reissues, see above